S. PTC

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 22981/7-CIP

Total Pages in this Submission 111 Q

U.S. 8078(

TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

| Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility pater | nt application for an | | | | | |
|---|-----------------------|--|--|--|--|--|
| invention entitled: NITRIC OXIDE DONATING DERIVATIVES FOR THE TREATMENT OF CARDIOVASCUL | AR DISORDERS | | | | | |
| | | | | | | |
| and invented by: | | | | | | |
| Joseph Tucker; David McCaffrey; and Norman C.W. Wong | | | | | | |
| | | | | | | |
| If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information | n: | | | | | |
| ☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No | D.: <u>10/762,796</u> | | | | | |
| Which is a: | | | | | | |
| ☐ Centinuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. | 10/222,013 | | | | | |
| Which is a. ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. | D.: | | | | | |
| Enclosed are: | | | | | | |
| Application Elements | | | | | | |
| 1. 🗵 Filing fee as calculated and transmitted as described below | | | | | | |
| 2. Specification having pages and including the following: | | | | | | |
| a. 🗵 Descriptive Title of the Invention | | | | | | |
| b. Cross References to Related Applications (if applicable) | | | | | | |
| c. Statement Regarding Federally-sponsored Research/Development (if applicable) | | | | | | |
| d. Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix | | | | | | |
| e. 🗵 Background of the Invention | • | | | | | |
| f. Brief Summary of the Invention | | | | | | |
| g. Brief Description of the Drawings (if filed) | | | | | | |
| h. 🗵 Detailed Description | | | | | | |
| i. ⊠ Claim(s) as Classified Below | | | | | | |
| j. 🛛 Abstract of the Disclosure | | | | | | |

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. **22981/7-CIP**

Total Pages in this Submission 111

| 3. | | Drawing(s) (when necessary as prescribed by 35 USC 113) | | | | | | | |
|----------|----------------------------------|--|--|--|--|--|--|--|--|
| | a. | Formal Number of Sheets | | | | | | | |
| | þ. | ☐ Informal Number of Sheets | | | | | | | |
| 4. | | Oath or Declaration | | | | | | | |
| | a. | ☐ Newly executed (original or copy) ☐ Unexecuted | | | | | | | |
| | b. | ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only) | | | | | | | |
| | C. | ☐ With Power of Attorney ☐ Without Power of Attorney | | | | | | | |
| | d. | DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b). | | | | | | | |
| 5. | | Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | | | | | | | |
| 6. | | CD ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | | | | | | |
| 7. 8. | | - Approximation of the state of | | | | | | | |
| | a. Computer Readable Form (CFR) | | | | | | | | |
| | b. | ☐ Specification Sequence Listing on: | | | | | | | |
| | | i. CD-ROM or CD-R (2 copies); or | | | | | | | |
| | | ii. 🔲 Paper | | | | | | | |
| | C. | ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy | | | | | | | |
| | | Accompanying Application Parts | | | | | | | |
| 9. | | Assignment Papers (cover sheet & document(s)) | | | | | | | |
| 10. | | 37 CFR 3.73(B) Statement (when there is an assignee) | | | | | | | |
| 11. | | English Translation Document (if applicable) | | | | | | | |
| 12. | | Information Disclosure Statement/PTO-1449 Copies of IDS Citations | | | | | | | |
| 13. | ~ □ | ☐ Preliminary Amendment | | | | | | | |
| 14. | X | Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | | | | | | | |
| 15. | | ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | | | | |
| 16. | \boxtimes | Certificate of Mailing | | | | | | | |
| | | ☐ First Class ☒ Express Mail (Specify Label No.): EV 303534223 US | | | | | | | |

Application Elements (Continued)

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 22981/7-CIP

Total Pages in this Submission 111

| | | Accompanying Application Parts (Continued) |
|-----|-------------|---|
| 17. | \boxtimes | Applicant claims small entity status. See 37 CFR 1.27. |
| | | |
| | | |
| 18. | | Additional Enclosures (please identify below): |
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| | | |
| 19 | | Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2) Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional. |
| | | within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice |

J.S. PTC

UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Total Pages in this Submission 111

Fee Calculation and Transmittal

CLAIMS AS FILED

| For | #Filed | #Allowed | #Extra | | Rate | Fee | |
|---|--------|----------|--------|----|--------------|--------------|--|
| Total Claims | 15 | - 20 = | 0 | ·x | \$9.00 | \$0.00 | |
| โลซีอุว. Claîms : | 3 | - 3 = | 0 | × | \$43.00 | \$0.00 | |
| Multiple Dependent Claims (check if applicable) | | | | | | | |
| | | | | - | BASIC | FEE \$385.00 | |
| OTHER FEE (specif | \$0.00 | | | | | | |
| | | 4. | | | TOTAL FILING | FEE \$385.00 | |

☐ A check in the amount of

to cover the filing fee is enclosed.

☑ The Director is hereby authorized to charge and credit Deposit Account No.

50-0369

as described below.

\$385.00

as filing fee.

- ☑ Credit any overpayment.
- ☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,

pursuant to 37 C.F.R. 1.311(b).

Dated: March 24, 2004

Signature

John C. Serio, Reg. No. 39,023

Attorney(s) for Applicant

Brown Rudnick Berlack Israels LLP

One Financial Center, Box IP

Boston, MA 02111 Customer No.: 21710

Tel.: 617-856-8238

Fax: 617-856-8201

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR §1.10)

| NITRIC OXIDE DONATING DERIVATIVES FOR THE TREATMENT OF CARDIOVASCULAR DISORDERS FILING DATE: HEREWITH APPLICATION NUMBER (if known): UNKNOWN MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 It hereby certify that the following correspondence: Transmittal letter (4 pgs.): Specification (99 pgs.); Claims (5 pgs.); Abstract (1 pg.); Small Entity Assertion (1 pg.): Please charge Deposit Account No. 50-0369 in the amount of 8385.00. is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: March 24, 2004 Date Sandra Wittrup Person Mailing Correspondence Signature | APPLICANT(S): | Docket No. 22981/7-CIP | | | | |
|--|--|--|--|--|--|--|
| NITRIC OXIDE DONATING DERIVATIVES FOR THE TREATMENT OF CARDIOVASCULAR DISORDERS FILING DATE: HEREWITH APPLICATION NUMBER (if known): UNKNOWN MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 It hereby certify that the following correspondence: Transmittal letter (4 pgs.): Specification (99 pgs.); Claims (5 pgs.); Abstract (1 pg.); Small Entity Assertion (1 pg.): Please charge Deposit Account No. 50-0369 in the amount of 8385.00. is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: March 24, 2004 Date Sandra Wittrup Person Mailing Correspondence Signature | Tucker et al. | | | | | |
| FILING DATE: HEREWITH APPLICATION NUMBER (if known): UNKNOWN MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby vertify that the following correspondence: Transmittal letter (4 pgs.): Specification (99 pgs.); Claims (5 pgs.); Abstract (1 pg.); Small Entity Assertion (1 pg.): Please charge Deposit Account No. 50-0369 in the amount of \$385.00. is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: March 24, 2004 Date Sandra Wittrup Person Mailing Correspondence Jandra Wittrup Person Mailing Correspondence | TITLE OF THE INVENTION: | | | | | |
| APPLICATION NUMBER (if known): UNKNOWN MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that the following correspondence: Transmittal letter (4 pgs.): Specification (99 pgs.); Claims (5 pgs.); Abstract (1 pg.); Small Entity Assertion (1 pg.): Please charge Deposit Account No. 50-0369 in the amount of \$385.00. is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: March 24, 2004 Date Sandra Wittrup Person Mailing Correspondence Signature | NITRIC OXIDE DONATING DER CARDIOVASCULAR DISORDERS | RIVATIVES FOR THE TREATMENT OF | | | | |
| MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I hereby certify that the following correspondence: Transmittal letter (4 pgs.): Specification (99 pgs.); Claims (5 pgs.); Abstract (1 pg.); Small Entity Assertion (1 pg.). Please charge Deposit Account No. 50-0369 in the amount of \$3385.00. is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: March 24, 2004 Sandra Wittrup Person Mailing Correspondence Signature | FILING DATE: HEREWITH | | | | | |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 If hereby certify that the following correspondence: Transmittal letter (4 pgs.); Specification (99 pgs.); Claims (5 pgs.); Abstract (1 pg.); Small Entity Assertion (1 pg.). Please charge Deposit Account No. 50-0369 in the amount of \$385.00. is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: March 24, 2004 Date Sandra Wittrup Person Mailing Correspondence Signature | APPLICATION NUMBER (if known): U | JNKNOWN | | | | |
| Transmittal letter (4 pgs.): Specification (99 pgs.); Claims (5 pgs.); Abstract (1 pg.); Small Entity Assertion (1 pg.). Please charge Deposit Account No. 50-0369 in the amount of \$385.00. is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: March 24, 2004 Date Sandra Wittrup Person Mailing Correspondence Signature | MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | |
| Entity Assertion (1 pg.). Please charge Deposit Account No. 50-0369 in the amount of \$385.00. is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: March 24, 2004 Sandra Wittrup Person Mailing Correspondence Signature | I hereby certify that the following corresp | ondence: | | | | |
| Addressee" service under 37 CFR §1.10 in an envelope addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: March 24, 2004 Date Sandra Wittrup Person Mailing Correspondence Jandra Wittrup Signature | | 1 10 / | | | | |
| Person Mailing Correspondence Sondia Witting Signature | Addressee" service under 37 CFR §1.10 is | n an envelope addressed to: MAIL STOP PATENT | | | | |
| Signature | · · · · · · · · · · · · · · · · · · · | | | | | |
| Signature | Date | Person Mailing Correspondence | | | | |
| EV 202524222 TIS | | | | | | |
| E.V 101114//1 U.1 | · | EV 303534223 US | | | | |
| Express Mail No. | | | | | | |

APPLICATION FOR PATENT (Small Entity Assertion)

| Express | Mail | No: | EV | 303534223 | US |
|---------|------|-----|----|-----------|----|
|---------|------|-----|----|-----------|----|

Docket No. 22981/7-CIP

INVENTOR(S): Tucker et al.

TITLE OF THE INVENTION:

NITRIC OXIDE DONATING DERIVATIVES FOR THE TREATMENT OF CARDIOVASCULAR DISORDERS

FILING DATE: Herewith

Serial Number (if known): Not Yet Assigned

MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SMALL ENTITY ASSERTION

i arri

Sir:

Applicant claims small entity status under 37 CFR 1.27 for the above-identified patent application.

7-24-04

Respectfully Submitted,

John C. Serio, Reg. No. 39,023

Customer No 21710

Intellectual Property Department

Brown Rudnick Berlack Israels LLP

One Financial Center, Box IP

Boston, MA 02111 Tel: 617-856-8238

Fax: 617-856-8201

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